FORM NLRB-501 (2-18)

## UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD CHARGE AGAINST EMPLOYER

DO NOT WRITE IN THIS SPACE			
Case		Date Filed	
	02-CA-263412	7-21-20	

#### **INSTRUCTIONS:**

File an original with NLRB Regional Director for the region in which the alleged unfair labor practice occurred or is occurring.

1. EMPL	OYER AGAINST WHOM CHARGE IS BROUGHT			
a. Name of Employer	b. Tel. No.			
		(212) 969-3912		
New Museum of Contemporary Art	c. Cell No.			
New Museum of Contemporary Art				
		f. Fax. No.		
		(212) 969-2900		
d. Address (Street, city, state, and ZIP code)	e. Employer Representative	g. e-mail		
225 D	Howard Z. Robbins, Esq.			
235 Bowery	Proskauer Rose, LLP	hrobbins@proskauer.com		
New York, NY 10002	11 Times Square	h. Number of workers employed		
	New York, NY 10036-8299	approx. 75		
i. Type of Establishment (factory, mine, wholesaler, etc.)	j. Identify principal product or service	TI		
Art Museum	Museum			
The above-named employer has engaged in and is engaged		ction 8(a), subsections (1) and		
(list subsections) (3) and (5)		or Relations Act, and thest unfair labor		
practices are practices affecting commerce within the me		·		
the Act and the Postal Reorganization Act.	3	3		
2. Basis of the Charge (set forth a clear and concise state	ement of the facts constituting the alleged unfair labor	practices)		
		,		
	oyer has violated the Act by (1) laying off bargaining ur 2) failing and refusing to provide information that is rele			
	argaining unit members based upon protected, concer			
3. Full name of party filing charge (if labor organization, g	ive full name, including local name and number)			
Local 2110, Technical, Office and Profe	essional Union , UAW, AFL-CIO			
4a. Address (Street and number, city, state, and ZIP code	)			
		4b. Tel. No.		
	,	4b. Tel. No. (212) 387-0220		
256 West 38th Street, Suite 704	,			
256 West 38th Street, Suite 704 New York, NY 10018		(212) 387-0220		
256 West 38th Street, Suite 704 New York, NY 10018		(212) 387-0220 4c. Cell No. 4d. Fax No.		
,		(212) 387-0220 4c. Cell No. 4d. Fax No. (212) 228-0198		
,		(212) 387-0220 4c. Cell No.  4d. Fax No. (212) 228-0198 4e. e-mail		
New York, NY 10018		(212) 387-0220 4c. Cell No.  4d. Fax No. (212) 228-0198 4e. e-mail local2110@2110uaw.org		
,		(212) 387-0220 4c. Cell No.  4d. Fax No. (212) 228-0198 4e. e-mail local2110@2110uaw.org		
New York, NY 10018	n of which it is an affiliate or constituent unit <i>(to be filled</i>	(212) 387-0220 4c. Cell No.  4d. Fax No. (212) 228-0198 4e. e-mail local2110@2110uaw.org		
New York, NY 10018  5. Full name of national or international labor organization International Union, United Automobile,	n of which it is an affiliate or constituent unit <i>(to be filled</i> Aerospace and Agricultural Implement	(212) 387-0220 4c. Cell No.  4d. Fax No. (212) 228-0198 4e. e-mail local2110@2110uaw.org		
New York, NY 10018  5. Full name of national or international labor organization International Union, United Automobile, 6. DECL I declare that I have read the abo	n of which it is an affiliate or constituent unit <i>(to be filled</i> Aerospace and Agricultural Implement of ARATION  Ve charge and that the statements	(212) 387-0220 4c. Cell No.  4d. Fax No. (212) 228-0198 4e. e-mail local2110@2110uaw.org  d in when charge is filed by a labor organization)  Workers of America, AFL-CIO Tel. No.		
New York, NY 10018  5. Full name of national or international labor organization International Union, United Automobile,  6. DECL I declare that I have read the aborate true to the best of name true true to the best of name true to the best of name true true to the best of name true true true to the best of name true true true true true true true tru	n of which it is an affiliate or constituent unit <i>(to be filled</i> Aerospace and Agricultural Implement ARATION	(212) 387-0220 4c. Cell No.  4d. Fax No. (212) 228-0198 4e. e-mail local2110@2110uaw.org  If in when charge is filed by a labor organization)  Workers of America, AFL-CIO  Tel. No. (212) 627-8100		
New York, NY 10018  5. Full name of national or international labor organization International Union, United Automobile, 6. DECL I declare that I have read the abo	n of which it is an affiliate or constituent unit <i>(to be filled</i> Aerospace and Agricultural Implement of ARATION  Ve charge and that the statements	(212) 387-0220 4c. Cell No.  4d. Fax No. (212) 228-0198 4e. e-mail local2110@2110uaw.org  d in when charge is filed by a labor organization)  Workers of America, AFL-CIO  Tel. No. (212) 627-8100  Office, if any, Cell No.		
New York, NY 10018  5. Full name of national or international labor organization International Union, United Automobile,  6. DECL I declare that I have read the aborate true to the best of name true true to the best of name true to the best of name true true to the best of name true true true to the best of name true true true true true true true tru	Aerospace and Agricultural Implement  ARATION ve charge and that the statements by knowledge and belief.	(212) 387-0220 4c. Cell No.  4d. Fax No. (212) 228-0198 4e. e-mail local2110@2110uaw.org d in when charge is filed by a labor organization)  Workers of America, AFL-CIO  Tel. No. (212) 627-8100  Office, if any, Cell No. (617) 510-0283		
New York, NY 10018  5. Full name of national or international labor organization International Union, United Automobile, 6. DECL I declare that I have read the about are true to the best of new York.	Aerospace and Agricultural Implement ARATION ve charge and that the statements by knowledge and belief.  Dana Lossia, Attorney	(212) 387-0220 4c. Cell No.  4d. Fax No. (212) 228-0198 4e. e-mail local2110@2110uaw.org  In when charge is filed by a labor organization)  Workers of America, AFL-CIO  Tel. No. (212) 627-8100  Office, if any, Cell No. (617) 510-0283  Fax No.		
New York, NY 10018  5. Full name of national or international labor organization International Union, United Automobile,  6. DECL I declare that I have read the about are true to the best of note that I have read the about are true to the best of note that I have read the about are true to the best of note that I have read the about are true to the best of note that I have read the about are true to the best of note that I have read the about a second s	Aerospace and Agricultural Implement ARATION ve charge and that the statements hy knowledge and belief.  Dana Lossia, Attorney  (Print/type name and title or office, if any)	(212) 387-0220 4c. Cell No.  4d. Fax No. (212) 228-0198 4e. e-mail local2110@2110uaw.org  d in when charge is filed by a labor organization)  Workers of America, AFL-CIO  Tel. No. (212) 627-8100  Office, if any, Cell No. (617) 510-0283  Fax No. (212) 627-8182		
New York, NY 10018  5. Full name of national or international labor organization International Union, United Automobile, 6. DECL I declare that I have read the about are true to the best of new York.	Aerospace and Agricultural Implement ARATION ve charge and that the statements ny knowledge and belief.  Dana Lossia, Attorney  (Print/type name and title or office, if any)	(212) 387-0220 4c. Cell No.  4d. Fax No. (212) 228-0198 4e. e-mail local2110@2110uaw.org  In when charge is filed by a labor organization)  Workers of America, AFL-CIO  Tel. No. (212) 627-8100  Office, if any, Cell No. (617) 510-0283  Fax No.		

WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001)
PRIVACY ACT STATEMENT

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 *et seq.* The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing unfair labor practice and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary; however, failure to supply the information may cause the NLRB to decline to invoke its processes.



### UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD

REGION 2 26 Federal Plz Ste 3614 New York, NY 10278-3699 Agency Website: www.nlrb.gov Telephone: (212)264-0300 Fax: (212)264-2450 Download NLRB Mobile App

July 23, 2020

New Museum of Contemporary Art 235 Bowery New York, NY 10002

Re: New Museum of Contemporary Art

Case No. 02-CA-263412

Dear Sir or Madam:

Enclosed is a copy of a charge that has been filed in this case. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Field Examiner PAULA GOMEZ whose telephone number is (212)776-8614. If this Board agent is not available, you may contact Supervisory Field Attorney KAREN M. NEWMAN whose telephone number is (212)776-8631.

**Right to Representation:** You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing *Form NLRB-4701*, *Notice of Appearance*. This form is available on our website, <a href="www.nlrb.gov">www.nlrb.gov</a>, or from an NLRB office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

<u>Presentation of Your Evidence</u>: We seek prompt resolutions of labor disputes. Therefore, I urge you or your representative to submit a complete written account of the facts and a statement of your position with respect to the allegations set forth in the charge as soon as possible. If the Board agent later asks for more evidence, I strongly urge you or your representative to cooperate fully by promptly presenting all evidence relevant to the investigation. In this way, the case can be fully investigated more quickly.

Full and complete cooperation includes providing witnesses to give sworn affidavits to a Board agent, and providing all relevant documentary evidence requested by the Board agent. Sending us your written account of the facts and a statement of your position is not

enough to be considered full and complete cooperation. A refusal to fully cooperate during the investigation might cause a case to be litigated unnecessarily.

In addition, either you or your representative must complete the enclosed Commerce Questionnaire to enable us to determine whether the NLRB has jurisdiction over this dispute. If you recently submitted this information in another case, or if you need assistance completing the form, please contact the Board agent.

We will not honor requests to limit our use of position statements or evidence. Specifically, any material you submit may be introduced as evidence at a hearing before an administrative law judge regardless of claims of confidentiality. However, certain evidence produced at a hearing may be protected from public disclosure by demonstrated claims of confidentiality.

Further, the Freedom of Information Act may require that we disclose position statements or evidence in closed cases upon request, unless an exemption applies, such as those protecting confidential financial information or personal privacy interests.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

<u>Prohibition on Recording Affidavit Interviews:</u> It is the policy of the General Counsel to prohibit affiants from recording the interview conducted by Board agents when subscribing Agency affidavits. Such recordings may impede the Agency's ability to safeguard the confidentiality of the affidavit itself, protect the privacy of the affiant and potentially compromise the integrity of the Region's investigation.

<u>Correspondence:</u> All documents submitted to the Region regarding your case MUST be filed through the Agency's website, <u>www.nlrb.gov</u>. This includes all formal pleadings, briefs, as well as affidavits, documentary evidence, and position statements. The Agency requests all evidence submitted electronically to be in the form it is normally used and maintained in the course of business (i.e., native format). Where evidence submitted electronically is not in native format, it should be submitted in a manner that retains the essential functionality of the native format (i.e., in a machine-readable and searchable electronic format).

If you have questions about the submission of evidence or expect to deliver a large quantity of electronic records, please promptly contact the Board agent investigating the charge. If you cannot e-file your documents, you must provide a statement explaining why you do not have access to the means for filing electronically or why filing electronically would impose an undue burden.

In addition, this Region will be issuing case-related correspondence and documents, including complaints, compliance specifications, dismissal letters, deferral letters, and withdrawal letters, electronically to the email address you provide. Please ensure that you receive important case-related correspondence, please ensure that the Board Agent assigned to your case has your preferred email address. These steps will ensure that you receive correspondence faster and at a significantly lower cost to the taxpayer. If there is some reason you are unable to receive correspondence via email, please contact the agent assigned to your case to discuss the circumstances that prevent you from using email.

Information about the Agency, the procedures we follow in unfair labor practice cases and our customer service standards is available on our website, <a href="www.nlrb.gov">www.nlrb.gov</a> or from an NLRB office upon your request. NLRB Form 4541, Investigative Procedures offers information that is helpful to parties involved in an investigation of an unfair labor practice charge.

We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

JOHN J. WALSH, JR. Regional Director

flf. Mallf.

#### Enclosures:

- 1. Copy of Charge
- 2. Commerce Questionnaire

cc: Howard Z. Robbins, Esq.
Proskauer Rose LLP
Eleven Times Square
New York, NY 10036-8299



### UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD

REGION 2 26 Federal Plz Ste 3614 New York, NY 10278-3699 Agency Website: www.nlrb.gov Telephone: (212)264-0300 Fax: (212)264-2450 Download NLRB Mobile App

Local 2110 Technical Office and Professional Union UAW, AFL-CIO 256 West 38th Street, Suite 704 New York, NY 10018

Re: New Museum of Contemporary Art

Case No. 02-CA-263412

Dear Sir or Madam:

The charge that you filed in this case on July 21, 2020 has been docketed as case number 02-CA-263412. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Field Examiner PAULA GOMEZ whose telephone number is (212)776-8614. If this Board agent is not available, you may contact Supervisory Field Attorney KAREN M. NEWMAN whose telephone number is (212)776-8631.

**Right to Representation:** You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing *Form NLRB-4701*, *Notice of Appearance*. This form is available on our website, <a href="www.nlrb.gov">www.nlrb.gov</a>, or from an NLRB office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

Presentation of Your Evidence: As the party who filed the charge in this case, it is your responsibility to meet with the Board agent to provide a sworn affidavit, or provide other witnesses to provide sworn affidavits, and to provide relevant documents within your possession. Because we seek to resolve labor disputes promptly, you should be ready to promptly present your affidavit(s) and other evidence. If you have not yet scheduled a date and time for the Board agent to take your affidavit, please contact the Board agent to schedule the affidavit(s). If you fail to cooperate in promptly presenting your evidence, your charge may be dismissed without investigation.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to

take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

<u>Prohibition on Recording Affidavit Interviews:</u> It is the policy of the General Counsel to prohibit affiants from recording the interview conducted by Board agents when subscribing Agency affidavits. Such recordings may impede the Agency's ability to safeguard the confidentiality of the affidavit itself, protect the privacy of the affiant and potentially compromise the integrity of the Region's investigation.

<u>Correspondence</u>: All documents submitted to the Region regarding your case MUST be filed through the Agency's website, <u>www.nlrb.gov</u>. This includes all formal pleadings, briefs, as well as affidavits, documentary evidence, and position statements. The Agency requests all evidence submitted electronically to be in the form it is normally used and maintained in the course of business (i.e., native format). Where evidence submitted electronically is not in native format, it should be submitted in a manner that retains the essential functionality of the native format (i.e., in a machine-readable and searchable electronic format).

If you have questions about the submission of evidence or expect to deliver a large quantity of electronic records, please promptly contact the Board agent investigating the charge. If you cannot e-file your documents, you must provide a statement explaining why you do not have access to the means for filing electronically or why filing electronically would impose an undue burden.

In addition, this Region will be issuing case-related correspondence and documents, including complaints, compliance specifications, dismissal letters, deferral letters, and withdrawal letters, electronically to the email address you provide. Please ensure that you receive important case-related correspondence, please ensure that the Board Agent assigned to your case has your preferred email address. These steps will ensure that you receive correspondence faster and at a significantly lower cost to the taxpayer. If there is some reason you are unable to receive correspondence via email, please contact the agent assigned to your case to discuss the circumstances that prevent you from using email.

Information about the Agency, the procedures we follow in unfair labor practice cases and our customer service standards is available on our website, <a href="www.nlrb.gov">www.nlrb.gov</a> or from an NLRB office upon your request. NLRB Form 4541, Investigative Procedures offers information that is helpful to parties involved in an investigation of an unfair labor practice charge.

We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

JOHN J. WALSH, JR.

Regional Director

cc: Dana E. Lossia, Esq.

Levy Ratner, P.C.

80 Eighth Avenue, 8th Floor New York, NY 10011-5126

# NATIONAL LABOR RELATIONS BOARD NOTICE OF APPEARANCE

1	1			
New Museum of Contemporary Art				
and	CASE 02-CA-263412			
Local 2110 Technical Office and Professional Union UAW, AFL-CIO				
X REGIONAL DIRECTOR  EXECUTIVE SECRETARY NATIONAL LABOR RELATIONS BOARD Washington, DC 20570	GENERAL COUNSEL NATIONAL LABOR RELATIONS BOARD Washington, DC 20570			
THE UNDERSIGNED HEREBY ENTERS APPEARANCE AS REPRESENTAT	IVE OF			
New Museum of Contemporary Art				
IN THE ABOVE-CAPTIONED MATTER.				
CHECK THE APPROPRIATE BOX(ES) BELOW:  REPRESENTATIVE IS AN ATTORNEY  IF REPRESENTATIVE IS AN ATTORNEY, IN ORDER TO ENSURE THAT THE PARTY MAY RECEIVE COPIES OF CERTAIN DOCUMENTS OR CORRESPONDENCE FROM THE AGENCY IN ADDITION TO THOSE DESCRIBED BELOW, THIS				
BOX MUST BE CHECKED. IF THIS BOX IS NOT CHECKED, THE PARTY DOCUMENTS SUCH AS CHARGES, PETITIONS AND FORMAL DOCUMEN CASEHANDLING MANUAL.	WILL RECEIVE ONLY COPIES OF CERTAIN			
(REPRESENTATIVE INFORMATION)				
NAME: Howard Robbins and Joshua Fox				
MAILING ADDRESS: Proskauer Rose LLP, Eleven Times Square, New York, 10036				
E-MAIL ADDRESS: hrobbins@proskauer.com, jfox@proskauer.com				
OFFICE TELEPHONE NUMBER: (212) 969-3912, (212) 969-3507				
CELL PHONE NUMBER: (917) 370-4134, (917) 748-9841 FAX: (212) 969-2900				
SIGNATURE:				
DATE: (Please sign in ink.) 7/27/90				

<sup>&</sup>lt;sup>1</sup> IF CASE IS PENDING IN WASHINGTON AND NOTICE OF APPEARANCE IS SENT TO THE GENERAL COUNSEL OR THE EXECUTIVE SECRETARY, A COPY SHOULD BE SENT TO THE REGIONAL DIRECTOR OF THE REGION IN WHICH THE CASE WAS FILED SO THAT THOSE RECORDS WILL REFLECT THE APPEARANCE.